



Peer Review Form for Research Integrity Investigation Reports

| Check one: | Yes <small>(cite page #)</small> | No | In Part | Cannot Assess | |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------|----------------|----------------------|-----|
| General Scope | | | | | |
| 1. Does the report include an executive summary? | | | | | 1. |
| 2. Is the report clear and understandable? | | | | | 2. |
| 3. Are the allegation(s) clearly presented? | | | | | 3. |
| 4. Is the charge to the committee clearly described? | | | | | 4. |
| 5. Is the scope of the investigation sufficient to address the scientific integrity issues? | | | | | 5. |
| Investigative Committee | | | | | |
| 6. Is the committee appropriately constituted to carry out its charge? | | | | | 6. |
| 7. Are there any external members on the committee? | | | | | 7. |
| 8. Does the report state whether potential conflicts of interest for committee members were reviewed? | | | | | 8. |
| 9. Did the report indicate that standards of due process and confidentiality were followed? | | | | | 9. |
| 10. Did the respondent have an opportunity to identify conflicts? | | | | | 10. |
| 11. Do you have any concern that the investigative committee lacked access to necessary expertise or resources for a thorough investigation? | | | | | 11. |
| Evidence | | | | | |
| 12. Did the report indicate if evidence was properly sequestered and protected from tampering? | | | | | 12. |
| 13. Is there a description of the evidence considered in the investigation? | | | | | 13. |
| 14. Was the respondent offered an opportunity to respond? | | | | | 14. |
| 15. Did the committee consider and address whether important evidence was unavailable to them? | | | | | 15. |
| 16. If seemingly pertinent evidence was not reviewed, is that explained? | | | | | 16. |
| 17. Is there a need for further evidence or additional analysis? | | | | | 17. |
| 18. Is there a list of individuals who were interviewed? | | | | | 18. |
| 19. Were there others who should have been interviewed? | | | | | 19. |
| 20. Are there additional questions that should have been asked or evidence examined in the report to reach a supportable conclusion? | | | | | 20. |
| Conclusion | | | | | |
| 21. Does the report clearly state its findings? | | | | | 21. |
| 22. Does the report clearly state its conclusions? | | | | | 22. |

| Check one: | Yes <small>(cite page #)</small> | No | In Part | Cannot Assess | |
|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------|----------------|----------------------|-----|
| 23. Does the evidence fully support the conclusions of the report? | | | | | 23. |
| 24. Does the investigation articulate and apply relevant institutional policies? | | | | | 24. |
| 25. Are the recommendations clear and supported by the report? | | | | | 25. |
| 26. Does the report describe and address requirements of external sponsors regulations and how the requirements are met? | | | | | 26. |

Reviewer feedback

In addition to checklist items listed above, authors benefit from receiving qualitative feedback from reviewers. Please comment as appropriate on the quality of the report in following areas:.

1. Is the charge clearly stated?

2. Was the investigation well designed and executed?

3. Are the conclusions of the report justified by the contents of the report?

4. Other comments:

Please provide your overall assessment of this investigation report, taking into account all the elements included in the previous sections. Please check one:

- | | |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|
| <input type="checkbox"/> Report acceptable as is | <input type="checkbox"/> Major revisions and/or additional investigative actions needed |
| <input type="checkbox"/> Minor revisions needed | <input type="checkbox"/> Report is not acceptable |