



Grant Number: 1R01NS083860-01A1 REVISED
FAIN: R01NS083860

Principal Investigator(s):
Timothy Q. Duong, PHD

Project Title: MRI of Methylene Blue and Ischemic Stroke

Green, Chris G., CPA
Director
7703 Floyd Curl Drive, MSC 7828
San Antonio, TX 782293900

Award e-mailed to: nihgrants@uthscsa.edu

Period Of Performance:

Budget Period: 07/01/2015 – 07/02/2015

Project Period: 07/01/2015 – 07/02/2015

Dear Business Official:

The National Institutes of Health hereby revises this award to reflect a decrease in the amount of \$332,500 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to UNIVERSITY OF TEXAS HLTH SCIENCE CENTER in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Neurological Disorders And Stroke of the National Institutes of Health under Award Number R01NS083860. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Tijuanna Decoster
Grants Management Officer
NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

Additional information follows

SECTION I – AWARD DATA – 1R01NS083860-01A1 REVISED**Award Calculation (U.S. Dollars)**

TOTAL FEDERAL AWARD AMOUNT \$0

AMOUNT OF THIS ACTION (FEDERAL SHARE) (\$-332,500)

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$0	\$0

Fiscal Information:**CFDA Name:** Extramural Research Programs in the Neurosciences and Neurological Disorders**CFDA Number:** 93.853**EIN:** 1741586031A3**Document Number:** RNS083860A**PMS Account Type:** P (Subaccount)**Fiscal Year:** 2015

IC	CAN	2015
NS	8472428	\$0

NIH Administrative Data:**PCC:** BOSETFNE / **OC:** 414A / **Released:** DECOSTERT 06/30/2015**Award Processed:** 06/15/2015 11:31:44 PM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1R01NS083860-01A1 REVISEDFor payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – TERMS AND CONDITIONS – 1R01NS083860-01A1 REVISED

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)**Research and Development (R&D):** All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is

classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VI Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R01NS083860. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award represents the final year of the competitive segment for this grant. See the NIH Grants Policy Statement Section 8.6 Closeout for complete closeout requirements at: <http://grants.nih.gov/grants/policy/policy.htm#gps>.

A final expenditure Federal Financial Report (FFR) (SF 425) must be submitted through the eRA Commons (Commons) within 120 days of the expiration date; see the NIH Grants Policy Statement Section 8.6.1 Financial Reports, <http://grants.nih.gov/grants/policy/policy.htm#gps>, for additional information on this submission requirement. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) quarterly cash transaction data. A final quarterly federal cash transaction report is not required for awards in PMS B subaccounts (i.e., awards to foreign entities and to Federal agencies). NIH will close the awards using the last recorded cash drawdown level in PMS for awards that do not require a final FFR on expenditures or quarterly federal cash transaction reporting. It is important to note that for financial closeout, if a grantee fails to submit a required final expenditure FFR, NIH will close the grant using the last recorded cash drawdown level. If the grantee submits a final expenditure FFR but does not reconcile any discrepancies between expenditures reported on the final expenditure FFR and the last cash report to PMS, NIH will close the award at the lower amount. This could be considered a debt or result in disallowed costs.

A Final Invention Statement and Certification form (HHS 568), (not applicable to training, construction, conference or cancer education grants) must be submitted within 120 days of the expiration date. The HHS 568 form may be downloaded at: <http://grants.nih.gov/grants/forms.htm>. This paragraph does not apply to Training grants, Fellowships, and certain other programs—i.e., activity codes C06, R13, R25, S10.

Unless an application for competitive renewal is submitted, a final progress report must also be submitted within 120 days of the expiration date. Instructions for preparing a Final Progress Report are at: <http://grants.nih.gov/grants/funding/finalprogressreport.pdf>. Any other specific requirements set forth in the terms and conditions of the award must also be addressed in the final progress report. Institute/Centers may accept the progress report contained in competitive renewal (type 2) in lieu of a separate final progress report. Contact the awarding IC for IC-specific policy regarding acceptance of a progress report contained in a competitive renewal application in lieu of a separate final progress report.

NIH strongly encourages electronic submission of the final progress report and the final invention statement through the Closeout feature in the Commons, but will accept an email or hard copy submission as indicated below.

Email: The final progress report and final invention statement may be e-mailed as PDF attachments to: NIHCloseoutCenter@mail.nih.gov.

Hard copy: Paper submissions of the final progress report and the final invention statement may be faxed to the NIH Division of Central Grants Processing, Grants Closeout Center, at 301-480-2304, or mailed to:

National Institutes of Health
Office of Extramural Research
Division of Central Grants Processing
Grants Closeout Center
6705 Rockledge Drive
Suite 5016, MSC 7986
Bethesda, MD 20892-7986 (for regular or U.S. Postal Service Express mail)
Bethesda, MD 20817 (for other courier/express deliveries only)

NOTE: If this is the final year of a competitive segment due to the transfer of the grant to another institution, then a Final Progress Report is not required. However, a final expenditure FFR is required and should be submitted electronically as noted above. If not already submitted, the Final Invention Statement is required and should be sent directly to the assigned Grants Management Specialist.

Treatment of Program Income:
Additional Costs

SECTION IV – NS Special Terms and Conditions – 1R01NS083860-01A1 REVISED

This revision rescinds the award in accordance with the email and follow-up letter sent to the Principal Investigator, Dr. Duong and the Business Official, Mr.Green on 6/30/15. The budget period and project period of the grant will end on 7/2/15

THE PREVIOUS TERMS AND CONDITIONS STATED BELOW REMAIN IN EFFECT.

In order to meet Institute program objectives within Fiscal Year 2015 budget constraints, the recommended levels for this grant have been reduced. Future year recommended levels of support have also been reduced.

Per the NINDS funding strategy:
http://www.ninds.nih.gov/funding/ninds_funding_strategy.htm

This award includes funds for twelve months of support. The competing budget period is awarded for less than 12 months. Future year budget periods will start on 6/1. Allowable preaward costs may be charged to this award, in accordance with the conditions outlined in the NIH Grants Policy Statement (revised October 2013) and with institutional requirements for prior approval. The NIH Grants Policy Statement can be found at http://grants.nih.gov/grants/policy/nihgps_2013/index.htm.

In future years, awards under the Streamlined Non-competing Award Process (SNAP) must submit a non-competing application via the eRA Commons by the 15th of the month preceding the month in which the budget period ends. The non-competing application can be submitted using the Research performance Progress Report (RPPR) format via the RPPR link in eRA Commons.

The use of the eRA [Research Performance Progress Report \(RPPR\)](#) Module for submitting Type 5 Progress Reports will be required for all awards with start dates on or after October 17, 2014. See Guide Notice: NOT-OD-15-014 <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-014.html>

The funds in this award shall not be used to pay the salary of an individual at a rate in excess of Executive Level II (\$183,300 in Fiscal Year 2015 effective January 11, 2015) per year. See NIH Guide Notice: NOT-OD-15-049 <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-049.html>

To register to use the Commons go to <https://commons.era.nih.gov/commons/>. Questions regarding the Commons should be addressed to Commons Support at 1-866-504-9552 or commons@od.nih.gov.

Other documents applicable to this grant should be faxed to (301) 451-5635 or mailed to:

Grants Management Branch
National Institutes of Neurological Disorders and Stroke
6001 Executive Boulevard, Suite 3290, MSC 9537
Rockville, MD 20852 (Express Mail)
Bethesda, MD 20892-9537 (Regular Mail)

For additional information, you may access the NIH home page at <http://www.nih.gov/> and the NINDS Home Page at <http://www.ninds.nih.gov>

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Donna M James
Email: jamesd@ninds.nih.gov **Phone:** 301-496-7484 **Fax:** 301-402-0219

Program Official: Francesca Bosetti
Email: frances@mail.nih.gov **Phone:** 301-496-1431

SPREADSHEET SUMMARY

GRANT NUMBER: 1R01NS083860-01A1 REVISED

INSTITUTION: UNIVERSITY OF TEXAS HLTH SCIENCE CENTER

Budget	Year 1
TOTAL FEDERAL DC	\$0
TOTAL FEDERAL F&A	\$0
TOTAL COST	\$0

Facilities and Administrative Costs	Year 1
F&A Cost Rate 1	0%
F&A Cost Base 1	\$0

F&A Costs 1	\$0
F&A Cost Rate 2	0%
F&A Cost Base 2	\$0
F&A Costs 2	\$0