

June 20, 2014

Peggy Mason, PhD
Chair, Ethics Committee
Society for Neuroscience
1121 14th Street NW
Suite 1010
Washington, DC 20005

Dear Dr. Mason,

I write with the outcome of our inquiry into the allegations of possible research misconduct raised by the Society for Neuroscience involving the article entitled, "Intraneuronal APP, Not Free A β Peptides in 3xTg-AD Mice: Implications for Tau versus A β -Mediated Alzheimer Neurodegeneration." (J. Neurosci 31: 7691-7699, 2011)

The inquiry into this matter was conducted in accordance with the University of Pennsylvania's procedures regarding allegations of research misconduct. Over the past two months, the Preliminary Inquiry Committee formed to investigate the Society's allegations conducted a series of interviews with the authors and also performed a thorough analysis of the images in question. The Committee presented their findings in a report dated June 11, 2014. While there are clearly errors in the published figures, which Drs. Winton and Lee readily acknowledged from the onset of your initial communications with Dr. Lee, the Committee found no evidence of deliberate manipulation, or of conduct indicating reckless disregard. In addition, the Committee verified that the errors in Figures 1 and 4 do not detract from, or otherwise alter the conclusions of the manuscript.

Following discussions with the Committee members and reviewing the report in detail, Dean J. Larry Jameson, MD, PhD, and I agree with the findings. In summary, there is no evidence of research misconduct and the University considers this matter closed to further investigation.

Please also be advised that in the process of conducting this analysis the Committee found other minor discrepancies in Figures 1 and 4 beyond those brought forward by the Society. As these do not change the outcome of the paper we have advised the senior author to take the necessary steps with you to correct any additional errors.

Thank you for bringing this matter to our attention. Please do not hesitate to contact me with any questions.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Glen Gaulton". The signature is fluid and cursive, with a large initial "G" and a long, sweeping underline.

Glen N. Gaulton, PhD

cc: J. Larry Jameson, MD, PhD
Dawn Bonnell, PhD
Virginia Lee, PhD, MBA
John Trojanowski, MD, PhD
Matthew Winton, PhD
Susan Passante
Robert Terrell, JD
Diane Wender, JD